

UNITED STATES DEPARTMENT OF COMMERA United States Patent and Trademark Office: Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandra, Viiginia 22313-1450 www.upplugov

APPLICATION NUMBER

FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/643,092

08/19/2003

Bruce Thomson

571-883

001059 BERESKIN AND PARR SCOTIA PLAZA 40 KING STREET WEST-SUITE 4000 BOX 401 TORONTO, ON M5H 3Y2 **CANADA**

CONFIRMATION NO. 3072 FORMALITIES LETTER *OC000000013891556*

Date Mailed: 09/24/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

11/26/2004 LWONDIM1 00000126 10643092

FILED UNDER 37 CFR 1.53(b)

01 FC:1001 02 FC:1051 03 FC:1203 04 FC:1202

790.00 OP 130.00 OP 300.00 DP 72.00 OP

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, • however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- A substitute specification in compliance with 37 CFR 1.52, 1.121(b)(3), and 1.125, is required. The specification, claims, or abstract page(s) submitted is not acceptable and cannot be scanned or properly stored because:
 - The specification, claims or abstract page(s) 18 contain improper margins Each sheet must have a left margin of at least 2.5 cm (1") and top, bottom and right margins of at least 2.0 cm (3/4")
- Replacement drawings in compliance with 37 CFR 1.84 and 37 CFR 1.121 are required. The drawings submitted are not acceptable because:

■ The drawings have a line quality that is too light to be reproduced (weight of all lines and letters must be heavy enough to permit adequate reproduction) or text that is illegible (reference characters, sheet numbers, and view numbers must be plain and legible) see 37 CFR 1.84(l) and (p)(1)); See Figure(s) 1.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

• Additional claim fees of \$290 as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$1190 for a Large Entity

- \$770 Statutory basic filing fee.
- \$130 Late oath or declaration Surcharge.
- Total additional claim fee(s) for this application is \$290
 - \$290 for multiple dependent claim surcharge.

Replies should be mailed to: Mail Stop Missing Parts

Commissioner for Patents

P.O. Box 1450

Alexandria VA 22313-1450

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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TDANGE	Application Number	Application Number		10/643,092			
TRANSMIPTAL FORM		Filing Date		August 19, 2003			
FORN	First Named Inventor	г	Bruce Thomson				
\3		Art Unit		2878			
(to be used for all corresponde	Examiner Name		n/a		\neg		
Total Number of Pages in This Submission 32		Attorney Docket Nun	nber	571-883		フ	
	ENC	LOSURES (check all that a	apply)				
Fee Transmittal Form	☑ Drawin	☑ Drawing(s)		After Allowance Communication to TC			
	Licens	Licensing-related Papers		Appeal Communication to Board of Appeals and Interferences			
Amendment / Reply	Petitio	Petition		Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)			
After Final		Petition to Convert to a Provisional Application		Proprietary Information			
Affidavits/declaration(s		Power of Attorney, Revocation Change of Correspondence Address			Status Letter		
Extension of Time Reques	t Termir	Terminal Disclaimer		Other Enclosure(s) (please identify below):			
Express Abandonment Re	quest	Request for Refund CD, Number of CD(s)			Assignment Copy of Specification		
Information Disclosure Sta		Landscape Table on CD			Declaration		
Certified Copy of Priority Document(s)	Rema						
Reply to Missing Parts/ Incomplete Application							
Reply to Missing Parts under 37 CFR1.52 or							
	SIGNATURE O	F APPLICANT, ATTORN	NEY, OR	AGENT			
Firm	Bereskin & I	Bereskin & Parr					
Signature	X	Jan					
Printed Name	Stephen M.	Stephen M. Beney					
Date	November 2	rember 23, 2 9 64 Reg. N		No. 41,563			
	CERTIFIC	CATE OF TRANSMISSIC	ON/MAIL	ING		\equiv	
I hereby certify that this corre Service with sufficient posta Alexandria, VA 22313-1450 or	ge as first class ma	il in an envelope address	USPTO ed to: Co	or deposited ommissioner	with the United States Po for Patents, P.O. Box 1	ostal 450,	
Signature							
Typed or printed name				Date			

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO/SR/17 (11-04)

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as are required to respond to a collection of information unless it displays a valid OMB control number Under the Paperwork Reduction TRAU Complete if Known Effective on 10/01/2004. Patent fees are subject to annual revision. Application Number 10/643,092 FEE TRANSMITTAL August 19, 2003 Filing Date **Bruce Thomson** For FY 2005 First Named Inventor **Examiner Name** Applicant claims small entity status. See 37 CFR 1.27 2878 Art Unit 1,332.00 571-883 TOTAL AMOUNT OF PAYMENT Attorney Docket No. FEE CALCULATION (continued) METHOD OF PAYMENT (check all that apply) 2. EXTRA CLAIM FEES **Small Entity** Money Order ✓ Check Credit Card Fee Description Fee (\$) Fee (\$) Each claim over 20 18 9 None Deposit Account 88 44 Each independent claim over 3 Multiple dependent claims 300 150 Deposit 022095 For Reissues, each claim over 20 and Account Number 9 more than in the original patent 18 Deposit Bereskin & Parr For Reissues, each independent claim Account more than in the original patent 88 44 Fee Paid (\$) The Director is hereby authorized to: (check all that apply) Extra Claims Fee (\$) Total Claims _ x <u>18.00</u> = 4 24 - 20 or HP = Charge fee(s) indicated below HP = highest number of total claims paid for, if greater than 20 Charge fee(s) indicated below, except for the filing fee Fee Paid (\$) Extra Claims Fee (\$) 0.00 2 - 3 or HP = Charge any additional fee(s) or underpayments of fee(s) HP = highest number of independent claims paid for, if greater than 3 under 37 CFR 1.16 and 1.17 Fee Paid (\$) Credit any overpayments **Multiple Dependent Claims** Fee (\$) 300.00 300.00 to the above-identified deposit account. 372.00 Subtotal (2) \$ Other (please identify): 3. OTHER FEES **Small Entity** Fee (\$) Fee Paid(\$) Fee Description Fee (\$) WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card 1-month extension of time 110 55 information and authorization on PTO-2038. 2-month extension of time 215 430 **FEE CALCULATION** 490 3-month extension of time 980 1. BASIC FILING FEE 4-month extension of time 1,530 765 **Small Entity** Fee Paid(\$) Fee Description Fee (\$) Fee (\$) 1.040 5-month extension of time 2,080 790.00 Information disclosure stmt. fee 180 180 790 395 Utility Filing Fee 50 37 CFR 1.17(q) processing fee 50 350 Design Filing Fee 175 130 Non-English specification 130 170 Notice of Appeal 340 Plant Filing Fee 550 275 Filing a brief in support of appeal 170 340 Reissue Filing Fee 395 Request for oral hearing 300 150 170.00 Provisional Filing Fee 160 80 Other: Missing Parts/Assignment 170.00 790.00 Subtotal (3) \$ Subtotal (1) \$ SUBMITTED BY

Registration No. 41,563 Telephone (416) 364-7311 Signature (Attorney/Agent) Name (Print/Type) Stephen M. Bene Date November 23, 2004

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.